Global Blood Therapeutics, Inc.

Form 4

March 06, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* **HOMCY CHARLES J** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Global Blood Therapeutics, Inc.

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

03/02/2017

[GBT]

\_X\_\_ Director Officer (give title

10% Owner \_ Other (specify

C/O GLOBAL BLOOD THERAPEUTICS, INC., 400 EAST

JAMIE COURT, SUITE 101

(Street)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

**SOUTH SAN** FRANCISCO, CA 94080

(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ection Date 2A. Deemed Pay/Year) Execution Date, if any (Month/Day/Year)		4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	03/02/2017		Code V S	0 (1)	D	\$ 0 (1)	0 (2)	I	See Footnote (3)	
Common Stock	03/02/2017		S	0 (4)	D	\$ 0 (4)	0 (5)	I	See Footnote (6)	
Common Stock							237,728	D		
							1,600	I	Trust	

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D)		ate	7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(Instr. 3, 4, and 5)  (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

#### **Reporting Owners**

Relationships Reporting Owner Name / Address

Date

Director 10% Owner Officer Other

HOMCY CHARLES J C/O GLOBAL BLOOD THERAPEUTICS, INC. 400 EAST JAMIE COURT, SUITE 101 SOUTH SAN FRANCISCO, CA 94080



## **Signatures**

/s/ Matt Krause, 03/06/2017 attorney-in-fact

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On March 2, 2017, Third Rock Ventures II, L.P. ("TRV II") sold 1,000,000 shares of Common Stock of the Issuer. The shares were sold in one execution at a price per share of \$25.50.
- (2) After the transaction referenced in note (1), TRV II owned 6,475,191 shares.

Reporting Owners 2

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- The reporting person is a partner of Third Rock Ventures GP II, L.P., which is the general partner of TRV II. The reporting person
- (3) disclaims beneficial ownership over the shares held by TRV II, except to the extent of his pecuniary interest therein, if any, and this report shall not be deemed an admission that he is the beneficial owner of such shares.
- (4) On March 2, 2017, Third Rock Ventures III, L.P. ("TRV III") sold 1,000,000 shares of Common Stock of the Issuer. The shares were sold in one execution at a price per share of \$25.50.
- (5) After the transaction referenced in note (4), TRV III owned 285,713 shares.
  - The reporting person is a partner of Third Rock Ventures GP III, L.P., which is the general partner of TRV III. The reporting person
- (6) disclaims beneficial ownership over the shares held by TRV III, except to the extent of his pecuniary interest therein, if any, and this report shall not be deemed an admission that he is the beneficial owner of such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.