von Prondzynski Heino Form 4 September 05, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

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**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading von Prondzynski Heino Issuer Symbol Quotient Ltd [QTNT] (Check all applicable) (First) (Middle) 3. Date of Earliest Transaction (Last) X\_\_ Director (Month/Day/Year) 10% Owner Officer (give title Other (specify C/O QUOTIENT LIMITED, 28 09/04/2018 below) **ESPLANADE** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ST HELIER, Y9 JE2 3QA (State)

(Zin)

(City)

(City)	(State)	Table Table	e I - Non-D	erivative Se	curities Ac	quired, Disposed	of, or Beneficial	ly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securitie	es Acquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of			Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Code (D)		Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership
						Following	(Instr. 4)	(Instr. 4)
					(A)	Reported		
					(A)	Transaction(s)		
			Code V	Amount	or (D) Price	(Instr. 3 and 4)		
Ordinary Shares	09/04/2018		M	32,747	A (1)	125,313	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	09/04/2018		M	12,500	(2)	(2)	Ordinary Shares	12,500
Ordinary Share Option (Right to Buy)	\$ 11.62					(3)	10/31/2025	Ordinary Shares	4,303
Restricted Stock Units	(1)	09/04/2018		M	7,613	<u>(4)</u>	<u>(4)</u>	Ordinary Shares	7,613
Ordinary Share Option (Right to Buy)	\$ 5.73					<u>(5)</u>	10/31/2026	Ordinary Shares	8,726
Restricted Stock Units	(1)					<u>(6)</u>	<u>(6)</u>	Ordinary Shares	2,618
Restricted Stock Units	(1)	09/04/2018		M	12,634	<u>(7)</u>	<u>(7)</u>	Ordinary Shares	12,634
Ordinary Share Option (Right to Buy)	\$ 5.21					(8)	10/31/2027	Ordinary Shares	9,597
Restricted Stock Units	(1)					<u>(9)</u>	<u>(9)</u>	Ordinary Shares	5,758
Restricted Stock Units	(1)					(10)	(10)	Ordinary Shares	8,288

# **Reporting Owners**

# Reporting Owner Name / Address Director 10% Owner Officer Other von Prondzynski Heino C/O QUOTIENT LIMITED 28 ESPLANADE ST HELIER, Y9 JE2 3QA

# **Signatures**

/s/ Heino von
Prondzynski

\*\*Signature of Reporting
Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units covert into ordinary shares on a one-for-one basis.
- On September 15, 2014, the reporting person was granted 50,000 restricted stock units, all of which have vested and have been exercised.
- (3) 2,868 options have vested and remain exercisable, 1,435 options will vest on October 31, 2018.
- (4) On September 4, 2016, the reporting person was granted 15,226 restricted stock units, all of which have vested and have been exercised.
- (5) 2,909 options vested on October 31, 2017 and remain exercisable, 2,909 options will vest on October 31, 2018, 2,908 options will vest on October 31, 2019.
- On October 31, 2016, the Reporting Person was granted 5,236 restricted stock units, of which 2,618 have vested and have been exercised. The remaining 2,618 restricted stock units will vest on October 31, 2018.
- On September 4, 2017, the reporting person was granted 25,268 restricted stock units, of which 12,634 restricted stock units have vested and have been exercised. The remaining 12,634 restricted stock units will vest on September 4, 2019.
- (8) The options vest and become exercisable in three equal annual installments beginning October 31, 2018.
- (9) On October 31, 2017, the Reporting Person was granted 5,758 restricted stock units, vesting in two equal installments on October 31, 2018 and October 31, 2019.
- On April 4, 2018, the Reporting Person was granted 33,150 restricted stock units, of which 8,288 restricted stock units have vested and (10) have converted to ordinary shares. The remaining 24,862 restricted stock units will vest quarterly in three equal installments on October 4, 2018, January 4, 2019 and April 4, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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