

Vifor (International) Ltd  
Form 3  
November 02, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|                                           |                                      |                                                            |
|-------------------------------------------|--------------------------------------|------------------------------------------------------------|
| 1. Name and Address of Reporting Person * | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol                |
| Â Vifor (International) Ltd               | (Month/Day/Year)                     | ChemoCentryx, Inc. [CCXI]                                  |
| (Last) (First) (Middle)                   | 10/23/2018                           |                                                            |
| RECHENSTRASSE 37                          |                                      | 4. Relationship of Reporting Person(s) to Issuer           |
| (Street)                                  |                                      | (Check all applicable)                                     |
|                                           |                                      | _____ Director _____ 10% Owner                             |
| CH-9014 ST. GALLEN,Â V8Â                  |                                      | _____ Officer _____ Other                                  |
| (City) (State) (Zip)                      |                                      | (give title below) (specify below)                         |
|                                           |                                      | 5. If Amendment, Date Original Filed(Month/Day/Year)       |
|                                           |                                      | 6. Individual or Joint/Group Filing(Check Applicable Line) |
|                                           |                                      | _____ Form filed by One Reporting Person                   |
|                                           |                                      | __X__ Form filed by More than One Reporting Person         |

### Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security<br>(Instr. 4)        | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|-------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------------|----------------------------------------------------------|
| Common Stock, \$0.001 par value per share | 10,676,825                                               | I                                                                 | See Notes <u>(1)</u> <u>(2)</u> <u>(3)</u>               |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security:<br>Direct (D)<br>or Indirect | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|-----------------------------------------------|-------------------------------------------------------------|--------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------|
|                                               | Date Exercisable                                            | Expiration Date                                                                | Title                                                  | Amount or Number of                                                    |                                                          |

Shares

(I)  
(Instr. 5)

## Reporting Owners

| Reporting Owner Name / Address                                                               | Relationships |           |         |       |
|----------------------------------------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                                                              | Director      | 10% Owner | Officer | Other |
| Vifor (International) Ltd<br>RECHENSTRASSE 37<br>CH-9014 ST. GALLEN, V8                      | Â             | Â X       | Â       | Â     |
| Vifor Pharma Ltd.<br>RECHENSTRASSE 37<br>CH-9014 ST. GALLEN, V8                              | Â             | Â X       | Â       | Â     |
| Vifor Fresenius Medical Care Renal Pharma Ltd.<br>RECHENSTRASSE 37<br>CH-9014 ST. GALLEN, V8 | Â             | Â X       | Â       | Â     |

## Signatures

|                                                                                                       |            |
|-------------------------------------------------------------------------------------------------------|------------|
| VIFOR (INTERNATIONAL) LTD., /s/ Oliver Kronenberg, Title: Group General Counsel                       | 11/02/2018 |
| __Signature of Reporting Person                                                                       | Date       |
| VIFOR PHARMA LTD, /s/ Oliver Kronenberg, Title: Group General Counsel                                 | 11/02/2018 |
| __Signature of Reporting Person                                                                       | Date       |
| VIFOR FRESENIUS MEDICAL CARE RENAL PHARMA LTD., /s/ Oliver Kronenberg<br>Title: Group General Counsel | 11/02/2018 |
| __Signature of Reporting Person                                                                       | Date       |

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- In addition to Vifor (International) Ltd., an entity formed under the laws of Switzerland ("Vifor"), and Vifor Fresenius Medical Care Renal Pharma Ltd., an entity formed under the laws of Switzerland ("VFMCRP"), this Form 3 is being filed by Vifor Pharma Ltd., an entity formed under the laws of Switzerland ("Vifor Pharma" and together with Vifor and VFMCRP, the "Reporting Persons"). The business addresses for each of the Reporting Persons are listed above. Each of the Reporting Persons may be deemed to have a pecuniary interest in securities reported by it on this Form 3 (the "Subject Securities").
- (1) Beneficial ownership of the common stock referred to herein is being reported solely because (i) Vifor Pharma may be deemed to beneficially own 7,343,492 shares of Common Stock of ChemoCentryx, Inc. as a result of Vifor Pharma's indirect ownership of 100% of the equity interests of Vifor, and (ii) Vifor Pharma may be deemed to beneficially own 3,333,333 shares of Common Stock of ChemoCentryx, Inc. as a result of Vifor Pharma's indirect ownership of 55% of the equity interests of VFMCRP.
- (2) Each of the Reporting Persons disclaims any beneficial ownership of any of the Subject Securities, except to the extent of any pecuniary interest therein.
- (3)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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