Edgar Filing: Higher One Holdings, Inc. - Form 4

Higher One Form 4 August 19, 2	Holdings, Inc. 2014										
FORM	ΠД								-	PPROVAL	
_	UNITE	CD STATES			ND EXC D.C. 205		IGE (COMMISSION	OMB Number:	3235-0287	
Check the if no lon	oer								Expires:	January 31,	
subject t	GES IN BENEFICIAL OWN				NERSHIP OF	Estimated a	2005 average				
Section 16. SECURITIES						burden hours per					
Form 4 o Form 5			Castian 1	$(\cdot) \cdot f \cdot f$	C		. 1	- A - + - £ 1024	response	0.5	
obligatic	-	•					-	e Act of 1934,	n		
may con	tinue. Section I) of the In	•	•			f 1935 or Sectio	11		
<i>See</i> Instr 1(b).	ruction	50(II)) of the m	vestment	Company	Act	01 19-	+0			
1(0).											
(Print or Type	Responses)										
				Name and Ticker or Trading				5. Relationship of	Reporting Per	son(s) to	
MCGUAN	E CASEY		Symbol					Issuer			
Higher (One Holdings, Inc. [ONE]				(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of	Earliest Tr	ansaction					,	
				h/Day/Year)				Director 10% Owner X Officer (give title Other (specify			
C/O HIGHER ONE HOLDINGS, 08/15/20				.014			below) below)				
INC., 115 N	AUNSON STR	LEEI						Chief (Operating Offic	cer	
(Street) 4. If Ame			endment, Date Original			6. Individual or Joint/Group Filing(Check					
Filed(Mon				Ionth/Day/Year)				Applicable Line)			
								X Form filed by C Form filed by N	One Reporting Pe Iore than One Re		
NEW HAV	EN, CT 06511							Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	ecurit	ies Acc	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of	2. Transaction I			3.				5. Amount of	6. Ownership		
Security (Instr. 3)	(Month/Day/Ye		Execution Date, if		on(A) or Dis	sposed	of		Form: Direct (D) or	Indirect Beneficial	
(1130.3)		any (Month/	/Day/Year)	Code (D) (Instr. 8) (Instr. 3, 4 and 5)			•	(D) or Indirect (I)	Ownership		
			•					Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported Transaction(s)			
						or	р.	(Instr. 3 and 4)			
Common				Code V	Amount 92,426	(D)	Price				
Stock	08/15/2014			А	<u>(1)</u>	А	\$0	134,301	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(Instr. 3, 4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(insu

Reporting Owners

S

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
MCGUANE CASEY C/O HIGHER ONE HOLDINGS, INC. 115 MUNSON STREET NEW HAVEN, CT 06511			Chief Operating Officer					
Signatures								
/s/ Thomas D. Kavanaugh as attorney-in- McGuane	fact for C	Casey	08/19/2014					
<u>**</u> Signature of Reporting Perso	n		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects a grant of restricted stock units by the issuer. Each restricted stock unit represents the right to receive one common share at the (1) time it vests. These restricted stock units are scheduled to vest 50% on March 31, 2016 and 50% on March 31, 2017, provided that the reporting person is continuously employed with the issuer on such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.