Edgar Filing: STAAR SURGICAL CO - Form 4

| | RGICAL CO | | | | | | | | | | |
|---|---|-----------------|--|--|--|------------|------------------|---|--|------------------------|--|
| Form 4 | 7 | | | | | | | | | | |
| July 16, 200 | | | | | | | | | OMB A | PROVAL | |
| FORM | 4 UNITED S | STATES | | RITIES A shington, | | | NGE C | COMMISSION | OMB Number: | 3235-0287 | |
| Check th | | | vv as | anngton, | D.C. 203 | 947 | | | | January 31, | |
| Subject to Section 16. Form 4 or | | | F CHANGES IN BENEFICIAL OWNE SECURITIES Section 16(a) of the Securities Exchange A | | | | | | Expires: Estimated a burden hou response | 2005 average | |
| obligatio may con <i>See</i> Instr 1(b). | tinue. Section 17(a |) of the] | Public Ut | | ing Com | pany | Act of | f 1935 or Section | n | | |
| (Print or Type) | Responses) | | | | | | | | | | |
| | Address of Reporting F OOD PARTNERS | | Symbol | Name and | | · | - | 5. Relationship of Issuer | Reporting Pers | son(s) to | |
| (Last) | (Last) (First) (Middle) 3. Date of Earliest Transaction | | | | | (Chec | k all applicable | :) | | | |
| C/O BROA | DWOOD CAPITA FIFTH AVENUE, 9 | AL | (Month/D 07/12/20 | ay/Year) | | | | Director Officer (give below) | titleOtho below) | b Owner er (specify | |
| | | | | ndment, Dat th/Day/Year) | - | | | 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person X_ Form filed by More than One Reporting | | | |
| NEW YOR | K, NY 10019 | | | | | | | Person | | eporting | |
| (City) | (State) (| Zip) | Tabl | e I - Non-D | erivative S | Securit | ties Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Executio any | | 3. Transactio Code (Instr. 8) Code V | 4. Securit n(A) or Dis (Instr. 3, 4) | sposed | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 07/12/2007 | | | Р | 18,600 | А | \$ 3.77 | 3,289,209 | D <u>(1)</u> | | |
| Common Stock | 07/12/2007 | | | Р | 0 | А | \$0 | 3,289,209 | Ι | Footnote (2) | |
| Common Stock | 07/13/2007 | | | Р | 10,000 | А | \$ 3.77 | 3,299,209 | D (1) | | |
| Common Stock | 07/13/2007 | | | Р | 0 | A | \$0 | 3,299,209 | Ι | Footnote (2) | |
| Common Stock | 07/16/2007 | | | Р | 3,300 | А | \$ 3.75 | 3,302,509 | D <u>(1)</u> | | |

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| Common Stock | 07/16/2007 | Р | 0 | А | \$0 | 3,302,509 | Ι | Footnote (2) |
|-----------------|------------|---|---|---|-----|-----------|--------------|----------------|
| Common Stock | | | | | | 25,900 | D <u>(3)</u> | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|--|---------------------|--------------------|-------|--|---|--|
| | | | Code V | 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|---------|-------|--|--|
| F | Director | 10% Owner | Officer | Other | | |
| BROADWOOD PARTNERS LP C/O BROADWOOD CAPITAL INC. 724 FIFTH AVENUE, 9TH FLOOR NEW YORK, NY 10019 | | Х | | | | |
| BROADWOOD CAPITAL INC 724 FIFTH AVENUE 9TH FLOOR NEW YORK, NY 10019 | | Х | | | | |
| BRADSHER NEAL C C/O BROADWOOD PARTNERS, L.P. 724 FIFTH AVENUE, 9TH FLOOR NEW YORK, NY 10019 | | Х | | | | |

Signatures

| Broadwood Partners, L.P., By: | Broadwood Capital, Inc., By: /s/ Neal C. Bradsher, | | | | |
|--|--|------------|--|--|--|
| President | | 07/16/2007 | | | |
| | **Signature of Reporting Person | Date | | | |
| Broadwood Capital, Inc., By: /s/ Neal C. Bradsher, President | | | | | |
| | **Signature of Reporting Person | Date | | | |
| /s/ Neal C. Bradsher | | 07/16/2007 | | | |
| | **Signature of Reporting Person | Date | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities are owned by Broadwood Partners, L.P., which is a Reporting Person.

The reported securities are directly owned by Broadwood Partners, L.P. and may be deemed beneficially owned by Broadwood Capital, Inc. as General Partner of Broadwood Partners, L.P. and Neal C. Bradsher as President of Broadwood Capital, Inc. The Reporting Persons

- (2) disclaim beneficial ownership of the reported securities except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (3) These securities are owned by Neal C. Bradsher who is a Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.