

FLOTEK INDUSTRIES INC/CN/
Form 4
October 06, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SNIVELY JOSHUA A

2. Issuer Name and Ticker or Trading Symbol
FLOTEK INDUSTRIES INC/CN/
[FTK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
10603 W. SAM HOUSTON PKWY
N., SUITE 300

3. Date of Earliest Transaction
(Month/Day/Year)
10/01/2015

____ Director
 Officer (give title below)
____ 10% Owner
____ Other (specify below)
EVP Chemistry Research

(Street)
HOUSTON, TX 77064

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|--------|---|--|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 10/01/2015 | | S | | 13,800 (1) | D | \$ 17.538 | 88,996 | I | By Joshua A. Snively, Sr. and Heather B. Snively Revocable Trust (2) |
| Common Stock | 10/05/2015 | | S | | 1,200 (3) | D | \$ 17.62 | 87,796 | I | By Joshua A. Snively, Sr. and Heather B. |

| | | | | | | | | |
|--------------|------------|---|---------------|---|-----------|---------|---|---|
| Common Stock | 10/05/2015 | S | 15,000 (4) | D | \$ 18.002 | 128,916 | I | Snively Revocable Trust (2) By Joshua A. Snively, Sr. Irrevocable Trust (5) |
| Common Stock | | | | | | 68,758 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| SNIVELY JOSHUA A 10603 W. SAM HOUSTON PKWY N. SUITE 300 HOUSTON, TX 77064 | | | EVP Chemistry Research | |

Signatures

/s/ Joshua A. Snively, Sr. 10/06/2015

__Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition on October 1, 2015, of 13,800 shares at \$17.538 per share pursuant to a Rule 10b5-1 Trading Plan.
- (2) Mr. Snively is a trustee and beneficiary of the named trusts. Mr. Snively disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.
- (3) Disposition on October 5, 2015, of 1,200 shares at \$17.62 per share pursuant to a Rule 10b5-1 Trading Plan.
- (4) Disposition on October 5, 2015, of 15,000 shares at \$18.002 per share pursuant to a Rule 10b5-1 Trading Plan.
- (5) Mr. Snively, Sr.'s spouse is a trustee and beneficiary of the named trust. Mr. Snively, Sr. disclaims beneficial ownership of such shares except to the extent of his pecuniary interests therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.