Coleman Jon Form 4 January 22, 2019

## FORM 4

# OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or Expires: January 31, 2005

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Coleman Jon |         |           | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>MASIMO CORP [MASI] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |  |
|-------------------------------------------------------|---------|-----------|-----------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--|--|--|
| (Last)                                                | (First) | (Middle)  | 3. Date of Earliest Transaction                                             | (energian application)                                                                               |  |  |  |
| C/O MASIMO CORPORATION, 52<br>DISCOVERY               |         | ATION, 52 | (Month/Day/Year)<br>01/18/2019                                              | Director 10% Owner Officer (give title Other (specify below) Pres-WW Sales,Pro Svc,Med Affs          |  |  |  |
| (Street)                                              |         |           | 4. If Amendment, Date Original                                              | 6. Individual or Joint/Group Filing(Check                                                            |  |  |  |
| IRVINE, CA 92618                                      |         |           | Filed(Month/Day/Year)                                                       | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |

| (City)                               | (State) (                               | Zip) Table                                                  | e I - Non-D                             | erivative                                                           | Secur            | rities Acq  | uired, Disposed o                                                | f, or Beneficial                                                     | ly Owned                                                          |
|--------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|---------------------------------------------------------------------|------------------|-------------|------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                      |                                         |                                                             | Code V                                  | Amount                                                              | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |                                                                      |                                                                   |
| Common<br>Stock                      | 01/18/2019                              |                                                             | M                                       | 2,000                                                               | A                | \$<br>21.97 | 13,201                                                           | D                                                                    |                                                                   |
| Common<br>Stock                      | 01/18/2019                              |                                                             | S <u>(1)</u>                            | 2,000                                                               | D                | \$ 120      | 11,201                                                           | D                                                                    |                                                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

#### Edgar Filing: Coleman Jon - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Ame<br>Underlying Secu<br>(Instr. 3 and 4) |                            |
|-----------------------------------------------------|--------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------|----------------------------|
|                                                     |                                                        |                                         |                                                             | Code V                                  | and 5)                                                                            | Date Exercisable                                               | Expiration<br>Date | Title                                                   | An<br>or<br>No<br>of<br>Sh |
| Non-Qualifie<br>Stock Option<br>(Right to Buy       | \$ 21.97                                               | 01/18/2019                              |                                                             | M                                       | 2,000                                                                             | 05/28/2014(2)                                                  | 05/28/2023         | Common<br>Stock                                         | 2                          |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Coleman Jon C/O MASIMO CORPORATION 52 DISCOVERY IRVINE, CA 92618

Pres-WW Sales, Pro Svc, Med Affs

### **Signatures**

/s/ David J. Van Ramshorst, Attorney-In-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares covered by this Form 4 were exercised and sold pursuant to a Rule 10b5-1 Sales Plan dated as of December 12, 2018, which is intended to comply with Rule 10b5-1 promulgated under the Securities Exchange Act of 1934, as amended.
- (2) This option was granted on May 28, 2013 and is exercisable as the option vests. This option vested over a five year period, with 20% of the shares subject to the option vesting on each anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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