

Edgar Filing: EMCORE CORP - Form 5

EMCORE CORP
Form 5
April 29, 2002

OMB APPROVAL

OMB Number: 3235-0362
Expires: January 31, 2005
Estimated average burden
hours per response 1.0

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

Form 3 Holdings Reported

Form 4 Transactions Reported

=====

1. Name and Address of Reporting Person*

Hogan, Jr., John J.

(Last) (First) (Middle)

Harborstone Capital, 152 West 57th Street, 21st Floor

(Street)

New York, NY 10034

(City) (State) (Zip)

=====

2. Issuer Name and Ticker or Trading Symbol

Emcore Corporation (EMKR)

=====

3. IRS Identification Number of Reporting Person, if an entity (voluntary)

=====

4. Statement for Month/Year

March 2002

=====

5. If Amendment, Date of Original (Month/Year)

Edgar Filing: EMCORE CORP - Form 5

=====
 6. Relationship of Reporting Person to Issuer
 (Check all applicable)

- [x] Director [] 10% Owner
 [] Officer (give title below) [] Other (specify below)

=====
 7. Individual or Joint/Group Filing
 (Check applicable line)

- [x] Form filed by one Reporting Person
 [] Form filed by more than one Reporting Person

=====
 Table I -- Non-Derivative Securities Acquired, Disposed of,
 or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8)	4. Securities Acquired Disposed of (D) (Instr. 3, 4 and 5) ----- Amount
(1)			

 Table I (cont.) -- Non-Derivative Securities Acquired, Disposed of,
 or Beneficially Owned

1. Title of Security (Instr. 3)	5. Amount of Securities Beneficially Owned at End of Issuer's Fiscal Year (Instr. 3 & 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr.4)	7. Nature Indirect Beneficial Ownership (Instr.)
(1)			

Reminder: Report on a separate line for each class of securities beneficially

Edgar Filing: EMCORE CORP - Form 5

owned directly or indirectly.

=====
 Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/Year)	4. Transaction Code (Instr. 8) ----- Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)
--	---	--	--	--

(1)

 Table II (cont.) -- Derivative Securities Acquired, Disposed of, or Beneficially
 Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Title	Amount or Number of Shares	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned at End of Year (Instr.4)
--	---	--	--	---

(1)

Explanation of Responses:

/s/ John H. Hogan, Jr.

April 12, 2002

Edgar Filing: EMCORE CORP - Form 5

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space provided is insufficient, see Instruction 6 for procedure.

Alternatively, this Form is permitted to be submitted to the Commission in electronic format at the option of the reporting person pursuant to Rule 101(b)(4) of Regulation S-T.